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EXAMINER
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GEE, JASON KAI YIN

ART UNIT	PAPER NUMBER
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2134

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12/04/2007

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

## Office Action Summary

Application No.

10/661,047

Applicant(s)

RISAN ET AL.

Examiner

Jason K. Gee

Art Unit

2134

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 07 November 2007.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-88 is/are pending in the application.
- 4a) Of the above claim(s) 1-29 and 55-88 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 30-54 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- ☐ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- ☐ Notice of Informal Patent Application
- ☐ Other: \_\_\_\_\_

***DETAILED ACTION***

1. This action is response to communication: response to amendment filed on 11/07/2007.
2. Claims 30-54 are currently pending in this application. Claims 30 and 42 are independent claims.
3. No new IDS was received for this application.

***Response to Arguments***

Applicant's arguments with the amended claims have been considered but are moot in view of the new ground(s) of rejection. The new limitations, as best understood by the Examiner, are still taught by the Peinado reference.

As per the arguments concerning claims 32, 38, 44, 51, and 53, the arguments have been considered but are not persuasive. The applicants have argued that it is not obvious and inherent over the prior art the method of determining which content source of said plurality of content sources contains said instance of media content. It is already taught by the Kuriya reference in claim 1 that an access key and a location of said instance of media content is transmitted to the client node. In order for the system to be efficient, the system would not send a location of the content to the client unless the content is actually determined to be in that location. Thus, it would be obvious, if not inherent, as shown in the rejection below.

***Claim Rejections - 35 USC § 112***

Art Unit: 2134

Claims 30-54 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

As per claims 30-54, the independent claims recite controlling a data output path by diverting a commonly used data pathway to a controlled data pathway. The term "common" is unclear, and therefore indefinite.

***Claim Rejections - 35 USC § 103***

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 30, 31, 33-37, 39-43, 45-50, 52, and 54 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kuriya et al. US Patent Application Publication 2001/0056404 (hereinafter Kuriya), in view of Leoutsarakos US Patent Application Publication 2004/0039905 (hereinafter Leo), and further in view of Peinado et al. US Patent Application Publication 2002/0007456 (hereinafter Peinado).

As per claim 30, Kuriya teaches a method for preventing unauthorized recording of media content, said method comprising: transmitting from a client node to an administrative node a request for delivery of an instance of media content (throughout the reference, such as in paragraph 347, Figure 24), transmitting to said client node an

Art Unit: 2134

access key and a location of said instance of media content of said content source (Figure 24, paragraph 348);

However, at the time of the invention, Kuriya does not explicitly teach all the details of the limitations. However, Leo teaches some of these limitations. Kuriya teaches content sources and providing addresses of a content server, but does not explicitly teach determining which content sources of a plurality of content sources to provide delivery of said instance of media content, provided said client node is authorized to receive said instance of media content. However, determining a preferred device to connect to from a plurality of devices is taught by Leo, such as in paragraph 69-71. Also, as can be seen in Leo, these passages teach that a client device is provided the address and a key/ticket to connect to the preferred device. Further, transmitting the ticket/key to the preferred device designated by the address to receive service is taught in paragraph 74 of Leo. Combined with the Kuriya reference, the service could be receiving a the preferred content. Further, Kuriya teaches that in response to receiving said second request and said access key, transferring said instance of media content from said content source to said client node (paragraph 357),

However, at the time of the invention, the Leo and Kuriya reference do not teach all the limitations of the claims. However, this is taught by Peinado. Peinado teaches activating a compliance mechanism in response to said client node receiving said instance of media content (paragraph 17, paragraph 147), said compliance mechanism coupled to said client node (paragraph 15, Figure 4), said client node having a media content presentation application operable thereon and coupled to said compliance

Art Unit: 2134

mechanism (Figure 4, paragraph 147, paragraph 113); controlling a data path of a kernel-mode media device driver of said client node with said compliance mechanism upon detection of a kernel streaming mechanism operable on said client node (throughout the reference, such as in paragraph 18); and directing said media content from said kernel-mode media device driver to a media device driver coupled with said compliance mechanism, via said data path, for selectively restricting output of said media content (throughout the reference, such as in paragraphs 18, 19, 147, 128).

Peinado also teaches wherein the compliance mechanism controls a data output path by diverting a commonly used data pathway of said media content present application to a controlled data pathway monitored by said compliance mechanism, which is taught in Figure 5 and paragraph 128, in which a protected data is diverted toward a pathway controlled by the compliance mechanism.

At the time of the invention, it would have been obvious to one of ordinary skill in the art to combine the Kuriya reference with Leo. One of ordinary skill in the art would have been motivated to perform such an addition to be able to ensure security in an environment where data is shared between servers and plurality of clients. This is taught throughout Leo, such as in paragraphs 5-9. Also, Leo is relevant art, as it is directed toward security in data sharing environments.

Also, at the time of the invention, it would have been obvious to one of ordinary skill in the art to combine the Peinado reference. One of ordinary skill in the art would have been motivated to perform such an addition to ensure a piece of content is not misused or used against the content owner's wishes. This is taught in paragraph 7 of

Art Unit: 2134

Peinado: "Further, a need exists for a trusted component running on the computer device, where the trusted component enforces the rights of the content owner on such computing device in connection with a piece of digital content, even against attempts by the user of such computing device to access such digital content in ways not permitted by the content owner."

As per claim 31, Leo teaches wherein said determining which content source of said plurality of content sources to provide delivery of said instance of media content further comprises: determining which content source of said plurality of content sources is nearest to the physical location of said client node (paragraph 70). Picking a content server close to the client unit would be obvious, as it teaches in paragraph 70 that someone skilled in the art will appreciate that better service can be provided if a connection is close rather than far.

As per claim 33, Peinado teaches wherein an access key to a content is time sensitive and becomes obsolete after a defined amount of time (paragraph 165, wherein the license is a key, and an expiration date exists; also paragraph 12).

As per claim 34, Leo teaches wherein said location of said instance of media content comprises an address (paragraph 69). Also, Kuriya teaches this as well, such as in 348 and 422.

As per claim 35, it is inherent that an address is changed if a media content is transferred. If the content is transferred to the client node (in this case, it is), the address of the content would be changed to the client node.

As per claim 36, Peinado teaches preventing said instance of media content from being returned from said kernel-mode media device driver to a recording application coupled to said client node when recording said instance of media content violates a usage restriction applicable to said instance of media content (paragraphs 7, 12, 19-21, 125, abstract).

As per claim 37, Peinado teaches allowing said media content to be returned from said kernel-mod device driver to a recording application coupled to said client system when recording said instance of media content complies with a usage restriction applicable to said instance of media content (paragraphs 7, 12, 19-21, 125, abstract, where a license may allow a user to copy the content).

As per claim 39, Peinado teaches accessing an indicator associated with said instance of media content for indicating to said compliance mechanism a usage restriction applicable to said media content (paragraphs 10-13).

As per claim 40, Peinado teaches wherein said kernel-mode media device driver is part of an operating system operable on said client system (abstract, paragraphs 19-21). Further, it is also inherent, as a 'kernel' is defined as "the core of an operating system – the portion of the system that manages memory, files, and peripheral devices; maintains the time and date; launches applications; and allocates system resources." This definition is provided by the Microsoft Dictionary, 5<sup>th</sup> Edition.

As per claim 41, Peinado teaches throughout the reference altering said compliance mechanism present on said client node from a content source of said plurality of content sources in response to a change in a usage restriction comprising a



Art Unit: 2134

copyright restriction or licensing agreement applicable to said instance of media content (throughout the reference, such as in paragraphs 168-170)

Claim 42 is rejected using the same basis of arguments used to reject claim 30 above. Directing said instance of media content to a custom media device coupled to said compliance mechanism via said data output path, for selectively restricting output of said media content, is taught in Peinado in paragraph 128 and 147.

Claim 43 is rejected using the same basis of arguments used to reject claim 31 above.

Claim 45 is rejected using the same basis of arguments used to reject claim 33 above.

Claim 46 is rejected using the same basis of arguments used to reject claim 34 above.

Claim 47 is rejected using the same basis of arguments used to reject claim 35 above.

As per claim 48, Peinado teaches wherein said instance of media content comprises a digital watermark or an embedded key (paragraphs 61, 66).

Claim 49 is rejected using the same basis of arguments used to reject claim 36 above.

Claim 50 is rejected using the same basis of arguments used to reject claim 37 above.

Art Unit: 2134

Claim 52 is rejected using the same basis of arguments used to reject claim 39 above.

Claim 54 is rejected using the same basis of arguments used to reject claim 41 above.

6. Claims 32, 38, 44, 51, and 53 are rejected under 35 U.S.C 103(a) as being obvious over Kuriya, Leo, and Peinado as applied above.

As per claim 32, the Kuriya combination does not explicitly teach determining which content source of said plurality of content sources contains said instance of media content. However, this would have been obvious, if not inherent. When multiple content servers are available, and only a selected few content servers carry the desired content, it would not make sense to direct the user to a content server which does not hold the piece of content. By ensuring that the user is directed to the content server which actually holds the piece of content, it would help increase the speed of the system as the system would not need to redirect to multiple content servers until the client is directed to a content server that actually holds the piece of content.

Claim 44 is rejected using the same basis of arguments used to reject claim 32 above.

Art Unit: 2134

As per claim 38, The Kuriyan combination does not explicitly teach restricting said client node to have said media device driver implemented as a default media device driver. However, Peinado alludes to this paragraph 128. In this paragraph Peinado teaches that the client computer only uses the DRM drivers only if the content is protected. Therefore, in the normal case, when content is normal and not protected, the content may be rendered without further ado (also Figure 5A). At the time of the invention, it would have been obvious to restrict the client to have a media device driver implemented as the default media device driver. In general, since more content is not protected compared to protected, it would be faster to make the default media driver the one without protection. By doing so, the system may be faster, as only selected documents are protected.

Claim 51 is rejected using the same basis of arguments used to reject claim 38 above.

As per claim 53, the Kuriyan combination does not explicitly teach wherein the custom media device is an emulation of a custom media driver. However, it is well known in the art that emulation drivers may be used. At the time of the invention, it would have been obvious to one of ordinary skill in the art to emulate devices, such as custom media devices. One of ordinary skill in the art would have been motivated to perform such an addition, as emulators are known to provide many benefits. Emulators implements specialized hardware devices into software onto pre-existing devices. By doing so, additional hardware is not needed. Since no additional hardware is not needed, space is saved, and money can be saved as well.

***Conclusion***

7. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jason K. Gee whose telephone number is (571) 272-6431. The examiner can normally be reached on M-F, 7:00 am to 4:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kambiz Zand can be reached on (571) 272-38383811. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2134

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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